

# Arrow's Impossibility Theorem Resolved via Preference Crystallization:

A Dynamic Framework with Empirical Validation

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## Abstract

Arrow's impossibility theorem establishes that no social welfare function can simultaneously satisfy Pareto efficiency, independence of irrelevant alternatives, non-dictatorship, and universal domain. This paper resolves the impossibility through *preference crystallization dynamics*—a process modeling how expressed preferences emerge from internal deliberation between individual and shared values.

We model agents as composite entities with two value orientations: *individual values* (agent-specific preferences reflecting personal interest) and *shared values* (common evaluative standards recognized across all agents). The weight each agent places on these orientations evolve through dynamics governed by internal coherence ( $\alpha$ ) and social influence ( $\beta = 1 - \alpha$ ), with all utilities normalized to unit vectors.

We establish three main results. First, the dynamics converge unconditionally to stable equilibrium for all  $\alpha \in (0, 1)$ , validated empirically across 1000+ configurations with 100% convergence rate. Second, under a set of balanced parameter conditions (on  $\alpha$ ,  $\beta$ , and inter-agent influence weights  $\lambda$ ) and a geometric condition relating shared values to neutral preferences, Condorcet cycles resolve. Third, at equilibrium under these conditions, majority rule on expressed preferences satisfies all four Arrow axioms. The resolution represents an *ontological generalization*, not a domain restriction. Arrow's impossibility emerges as the limiting case when value structure collapses to individual values alone. The geometric condition has substantive meaning: democratic resolution requires shared values with directional preference—resolution is not guaranteed when common ground is indifferent among alternatives.

This framework is fundamentally *dynamic*, distinguishing it from domain restrictions (which exclude preference profiles statically) and from Prospect Theory (which transforms static utility representations). Here, preferences *evolve* through deliberation until crystallization.

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# 1 Introduction

Arrow’s impossibility theorem (Arrow, 1951, 1963) establishes that no social welfare function can simultaneously satisfy four seemingly minimal conditions: Pareto efficiency, independence of irrelevant alternatives (IIA), non-dictatorship, and universal domain. For seven decades, this result has shaped our understanding of collective decision-making, often interpreted as demonstrating fundamental limitations on democratic processes.

This paper proposes a resolution through *preference crystallization dynamics*. Rather than restricting the domain of admissible preferences or weakening aggregation requirements, we generalize the mathematical representation of agents themselves. We demonstrate that Arrow’s impossibility arises from an impoverished ontology—the assumption that each agent possesses a single, fixed preference ordering—and dissolves when agents are modeled as composite entities whose expressed preferences emerge from internal deliberation.

## 1.1 What This Paper Is and Is Not

To prevent misunderstanding, we clarify what our contribution represents. This clarification is essential because superficial readings might misclassify our work.

### 1.1.1 This Is NOT a Domain Restriction

Standard domain restrictions in social choice theory—single-peaked preferences (Black, 1948), value-restriction (Sen, 1966)—exclude certain preference profiles from consideration. They work by declaring: “we only consider profiles where [some structural condition] holds.” The analyst imposes the restriction externally, without explaining why agents would never hold the excluded preferences.

Our approach differs fundamentally:

**We exclude no base configurations.** Any possible value orientation vectors  $\{\hat{U}_{ji}\}$  are permitted. An agent’s individual values can prefer  $x \succ y \succ z$  while another’s prefers  $z \succ y \succ x$ . No combination is ruled out a priori.

**The “deliberative domain” emerges from dynamics.** We do not declare which profiles are admissible. Instead, we define dynamics that transform any initial profile toward equilibrium. The deliberative domain  $\mathcal{D}$  consists of profiles *reachable* through crystallization—a structural consequence of the model, not an imposed constraint.

**The constraint is on shared values, not preferences.** Our geometric condition ( $\hat{U}_{\text{shared}} \cdot \hat{U}_{\text{uniform}} < 0.96$ ) constrains the *shared values* structure, not individual preferences. It says: shared values must have directional preference, not indifference. This is a condition on what agents hold in common, not on what they disagree about.

### 1.1.2 This Is NOT a Variant of Prospect Theory

Kahneman and Tversky’s Prospect Theory transforms how utilities are evaluated—reference dependence, loss aversion, probability weighting—but operates on *static* preference snapshots. At any moment, an agent has a preference; Prospect Theory describes how that preference relates to a reference point.

Our framework is fundamentally *dynamic*:

**Preferences evolve over time.** An agent’s expressed preference at  $t = 0$  may differ from their preference at  $t = 10$ . This is not noise or error—it reflects genuine crystallization through deliberation.

**The process matters, not just the endpoint.** Prospect Theory asks: “given reference point  $R$ , what does the agent prefer?” We ask: “given initial weights and dynamics parameters, how do preferences evolve and where do they stabilize?”

**Social interaction drives change.** Preference evolution in our model responds to others’ observable preferences (social influence). Prospect Theory’s transformations are purely internal to the individual.

### 1.1.3 This IS an Ontological Generalization

We expand the mathematical representation of agents:

**Arrow:** Agent  $i$  has preference ordering  $\succ_i$ . This is primitive, fixed, structureless.

**Crystallization:** Agent  $i$  has value orientations  $\{\hat{U}_{ji}\}$  and value weights  $\{w_{ji}(t)\}$ . The expressed preference  $\succ_i(t)$  emerges from weighted combination.

Arrow’s framework is recovered as a limiting case. When  $k_i = 1$  (single value orientation), weights are trivially 1, and preferences are fixed. The impossibility binds in this degenerate limit.

The analogy is to the relationship between Newtonian mechanics and special relativity. Newton’s laws are not “wrong”—they describe physics accurately at low velocities. But they emerge as a limiting case of more general relativistic mechanics. Similarly, Arrow’s impossibility describes social choice accurately for atomic agents with fixed preferences. Our framework reveals this as a special case of richer composite-agent dynamics.

### 1.1.4 This IS a Dynamic Process

The key innovation is modeling preference *evolution* through deliberation:

**Dynamics specification:** We provide explicit update equations governing how value weights change in response to satisfaction (internal coherence) and rank correlation with others (social influence).

**Convergence properties:** We establish that dynamics converge to stable equilibrium (proven via Brouwer, validated empirically).

**Resolution mechanism:** We characterize precisely when Condorcet cycles resolve (geometric condition) and how resolution occurs (swing voter cascade).

## 1.2 Main Results

We establish three principal results for the two-value model:

- (i) **Unconditional Convergence:** For any  $\alpha \in (0, 1)$  with  $\beta = 1 - \alpha$ , the dynamics converge to equilibrium. This is validated empirically across 1000+ configurations with 100% convergence rate.
- (ii) **Geometric Resolution Condition:** Under balanced parameter conditions ( $\alpha = \beta = 0.5$ , uniform influence  $\lambda_{ki} = 1/(n - 1)$ ), Condorcet cycles resolve when  $\hat{U}_{\text{shared}} \cdot \hat{U}_{\text{uniform}} < 0.96$ , where  $\hat{U}_{\text{shared}}$  is the shared values utility vector (normalized) and  $\hat{U}_{\text{uniform}} = (1/\sqrt{m}, \dots, 1/\sqrt{m})$ . Geometrically, this requires angular deviation greater than  $16^\circ$  from uniform.
- (iii) **Arrow Resolution:** At crystallization equilibrium satisfying the geometric condition, majority rule on expressed preferences satisfies all four Arrow axioms.

The geometric condition has clear interpretation: shared values must have *directional preference*—they cannot be indifferent among alternatives. When shared values favor one alternative even modestly, this provides a coordination point around which deliberation converges.

## 1.3 Paper Organization

Section 2 reviews Arrow’s framework and the impossibility theorem. Section 3 develops the crystallization model formally. Section 4 presents a complete worked example demonstrating cycle resolution. Section 5 establishes theoretical results including equilibrium existence and the geometric condition. Section 6 reports comprehensive empirical validation. Section 7 discusses implications and concludes.

# 2 Preliminaries: Arrow’s Framework

We establish notation and review Arrow’s impossibility theorem.

## 2.1 Basic Definitions

Let  $A = \{a_1, \dots, a_m\}$  be a finite set of alternatives with  $m \geq 3$ . Let  $N = \{1, \dots, n\}$  be a finite set of individuals with  $n \geq 2$ .

**Definition 2.1** (Preference Ordering). A *preference ordering* over  $A$  is a complete, transitive binary relation  $\succ$ . We write  $a \succ b$  for strict preference,  $a \sim b$  for indifference, and  $a \succeq b$  for weak preference.

**Definition 2.2** (Preference Profile). A *preference profile* is an  $n$ -tuple  $P = (\succ_1, \dots, \succ_n)$  of individual orderings.

**Definition 2.3** (Social Welfare Function). A *social welfare function*  $F$  maps each preference profile to a social ordering:  $F : \mathcal{L}^n \rightarrow \mathcal{L}$ , where  $\mathcal{L}$  is the set of orderings over  $A$ .

## 2.2 Arrow's Axioms

- A1. Universal Domain (U):**  $F$  is defined for all preference profiles in  $\mathcal{L}^n$ .
- A2. Pareto Efficiency (P):** If  $a \succ_i b$  for all  $i \in N$ , then  $a \succ^* b$  in the social ordering.
- A3. Independence of Irrelevant Alternatives (IIA):** The social ranking of  $a$  vs.  $b$  depends only on individuals' rankings of  $a$  vs.  $b$ .
- A4. Non-Dictatorship (ND):** There is no individual  $i$  such that  $a \succ_i b$  implies  $a \succ^* b$  for all profiles and all pairs  $(a, b)$ .

**Theorem 2.4** (Arrow's Impossibility, 1951). *For  $|A| \geq 3$  and  $|N| \geq 2$ , no social welfare function satisfies U, P, IIA, and ND simultaneously.*

## 2.3 The Condorcet Paradox and Its Significance

Arrow's impossibility is intimately connected to Condorcet cycles. Understanding this connection illuminates our resolution strategy.

**Definition 2.5** (Condorcet Winner). Alternative  $a^* \in A$  is a *Condorcet winner* if for every other alternative  $b \in A$ :

$$|\{i \in N : a^* \succ_i b\}| > |\{i \in N : b \succ_i a^*\}|$$

That is,  $a^*$  beats every other alternative in pairwise majority voting.

When a Condorcet winner exists, it represents a natural focal point: no other alternative commands majority support against it. The Condorcet paradox demonstrates that such a winner need not exist.

**Example 2.6** (Condorcet Cycle). Three individuals, three alternatives  $\{x, y, z\}$ :

Individual 1:  $x \succ y \succ z$

Individual 2:  $y \succ z \succ x$

Individual 3:  $z \succ x \succ y$

Pairwise majorities:  $x$  beats  $y$  (2-1),  $y$  beats  $z$  (2-1),  $z$  beats  $x$  (2-1). No Condorcet winner exists—the social preference cycles:  $x \succ y \succ z \succ x$ .

### 2.3.1 Why Cycles Matter

The Condorcet paradox reveals a deep tension in democratic aggregation:

**Each pairwise comparison is democratic.** When we ask “ $x$  or  $y$ ?”, majority rule gives a clear answer. The problem arises only when we ask *all* pairwise questions simultaneously.

**Cycles enable agenda manipulation.** If preferences cycle, the final choice depends on the order of votes. Whoever controls the agenda controls the outcome—undermining democratic legitimacy.

**Cycles preclude “best” alternatives.** Without a Condorcet winner, there is no alternative that the group prefers to all others. Social choice becomes fundamentally indeterminate.

### 2.3.2 The Connection to Arrow

Arrow’s theorem can be understood as showing that Condorcet cycles are unavoidable under certain conditions. Any attempt to guarantee acyclic social preference while satisfying Pareto, IIA, and universal domain requires dictatorship.

Our resolution works by showing that *deliberation dynamics* transform cyclic profiles into acyclic ones. The key is the shared values: when shared values have directional preference (geometric condition), they provide a coordination point that breaks cycles.

### 2.3.3 Frequency of Cycles

How common are Condorcet cycles? With random preferences:

- $n = 3$  individuals,  $m = 3$  alternatives:  $\approx 5.6\%$  of profiles cycle
- $n = 25$  individuals,  $m = 3$  alternatives:  $\approx 8.8\%$  of profiles cycle
- As  $n \rightarrow \infty$  with  $m = 3$ : probability approaches  $\approx 8.8\%$

- As  $m \rightarrow \infty$ : cycle probability approaches 100%

Cycles are not merely theoretical curiosities. They occur with non-negligible frequency, especially when many alternatives are considered. Our resolution is practically relevant.

### 3 The Crystallization Framework

This section develops the preference crystallization model formally. We first present the general framework for  $k \geq 2$  value orientations, then specialize to the minimal two-value case for concrete analysis.

#### 3.1 General Value Structure

We model each individual as containing multiple *value orientations*—semi-autonomous value systems competing for influence over expressed behavior.

**Definition 3.1** (Composite Agent). Each individual  $i \in N$  possesses a set of  $k_i \geq 2$  internal value orientations  $\mathcal{C}_i = \{C_1^i, \dots, C_{k_i}^i\}$ . Each value orientation  $j \in \mathcal{C}_i$  has:

- A *normalized utility vector*  $\hat{U}_{ji} \in \mathbb{R}^m$  with  $\|\hat{U}_{ji}\| = 1$
- A *weight*  $w_{ji}(t) \in [0, 1]$  representing current influence, with  $\sum_{j=1}^{k_i} w_{ji}(t) = 1$

The individual's *expressed utility* at time  $t$  is:

$$\hat{U}_i(t) = \sum_{j=1}^{k_i} w_{ji}(t) \cdot \hat{U}_{ji} \quad (1)$$

This general framework allows rich internal structure: an individual might have value orientations representing self-interest, fairness, tradition, efficiency, short-term desires, long-term planning, etc. The dynamics (Section 3.4) apply to any  $k_i \geq 2$ .

*Remark 3.2* (Arrow as Limiting Case). When  $k_i = 1$  for all individuals, weights are trivially 1, preferences are fixed, and the framework reduces to Arrow's atomic agents. Arrow's impossibility binds in this degenerate limit.

#### 3.2 The Minimal Two-Value Model

For theoretical analysis and empirical validation, we focus on the minimal case  $k_i = 2$  for all individuals.

**Assumption 3.3** (Two-Value Structure). Each individual  $i \in N$  possesses exactly two value orientations:



- **Individual values:** Individual-specific values. May differ across individuals.
- **Shared values:** Common values. Identical across all individuals:  $\hat{U}_{2i} = \hat{U}_2$  for all  $i$ .

The key structural feature is that Shared values are *common*—all individuals have the same normalized utility vector  $\hat{U}_2$  for shared values, though they may weight it differently. This models shared values, common ground, or collective welfare considerations.

With  $k_i = 2$ , the weight simplex reduces to the interval  $[0, 1]$ , with  $w_{1i}(t) + w_{2i}(t) = 1$ .

### 3.3 Expressed Preferences

In the two-value model, the expressed utility simplifies to:

$$\hat{U}_i(t) = (1 - w_{2i}(t)) \cdot \hat{U}_{1i} + w_{2i}(t) \cdot \hat{U}_2 \quad (2)$$

The expressed utility induces a preference ordering:  $a \succ_i(t) b$  iff  $\hat{U}_i(a; t) > \hat{U}_i(b; t)$ .

### 3.4 Crystallization Dynamics

Weights evolve according to:

$$\Delta w_{ji}(t) = \alpha \cdot [\text{Sat}_{ji}(t) - w_{ji}(t)] + \beta \cdot \text{Social}_{ji}(t) \quad (3)$$

where  $\alpha, \beta \in (0, 1)$  with  $\alpha + \beta = 1$  (normalization convention).

**Satisfaction function:** Measures alignment between value orientation  $j$ 's and the individual's expressed preference:

$$\text{Sat}_{ji}(t) = \frac{1}{2} \left( 1 + \frac{\hat{U}_{ji} \cdot \hat{U}_i(t)}{\|\hat{U}_i(t)\|} \right) \quad (4)$$

This rescaled cosine similarity maps to  $[0, 1]$ : perfect alignment gives  $\text{Sat} = 1$ , perfect opposition gives  $\text{Sat} = 0$ .

**Social influence function:** Measures how well value orientation  $j$ 's preferences correlate with others' observable orderings:

$$\text{Social}_{ji}(t) = \sum_{k \neq i} \lambda_{ki} \cdot \text{RankCorr}_{ji}(\hat{U}_k(t)) \quad (5)$$

where  $\lambda_{ki} \geq 0$  with  $\sum_{k \neq i} \lambda_{ki} = 1$  are influence weights, and RankCorr is the scaled Kendall  $\tau_b$  correlation:

$$\text{RankCorr}_{ji}(t) = \frac{\tau_b(\hat{U}_{ji}, \hat{U}_k(t)) + 1}{2} \in [0, 1] \quad (6)$$

**Weight update:** After computing  $\Delta w_{ji}(t)$ , we apply:

1. Raw update:  $w'_{ji}(t+1) = w_{ji}(t) + \Delta w_{ji}(t)$
2. Clip negatives:  $w''_{ji}(t+1) = \max(w'_{ji}(t+1), 0)$
3. Normalize:  $w_{ji}(t+1) = w''_{ji}(t+1) / \sum_l w''_{li}(t+1)$

### 3.5 Social Aggregation

At equilibrium, individuals have stable expressed orderings  $\succ_i^*$ . Social preference is determined by *majority rule*:

$$a \succ^* b \iff |\{i : a \succ_i^* b\}| > |\{i : b \succ_i^* a\}| \quad (7)$$

This is pure ordinal aggregation, consistent with Arrow's framework.

## 4 Worked Example: Condorcet Cycle Resolution

We present a complete worked example demonstrating how crystallization dynamics resolve a Condorcet cycle.

### 4.1 Configuration

**Agents:**  $n = 3$  individuals,  $m = 3$  alternatives  $\{x, y, z\}$ .

**Parameters:**  $\alpha = 0.5$ ,  $\beta = 0.5$ , uniform influence  $\lambda_{ki} = 0.5$ .

**Initial weights:**  $w_2(0) = 0.2$  for all individuals (20% shared values weight).

**individual preferences (normalized):**

Agent	$\hat{U}_{1i}$	Preference
1	(0.802, 0.535, 0.267)	$x \succ y \succ z$
2	(0.267, 0.802, 0.535)	$y \succ z \succ x$
3	(0.535, 0.267, 0.802)	$z \succ x \succ y$

This creates a symmetric Condorcet cycle in individual preferences.

**Shared values:**  $\hat{U}_2 = (0, 0, 1)$  — shared values favor  $z$ .

**Geometric condition check:**

$$\hat{U}_{\text{shared}} \cdot \hat{U}_{\text{uniform}} = (0, 0, 1) \cdot \left( \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}} \right) = \frac{1}{\sqrt{3}} \approx 0.577 < 0.96 \quad \checkmark$$

The condition is satisfied (angular deviation  $\approx 55^\circ \gg 16^\circ$ ), so resolution is guaranteed.

## 4.2 Initial State

At  $t = 0$  with  $w_2 = 0.2$ , expressed preferences reflect mostly Individual values:

- Agent 1:  $x \succ y \succ z$
- Agent 2:  $y \succ z \succ x$
- Agent 3:  $z \succ x \succ y$

**Initial pairwise majorities:**

Matchup	Votes	Winner
$x$ vs $y$	2-1	$x$
$y$ vs $z$	2-1	$y$
$z$ vs $x$	2-1	$z$

**Condorcet cycle:**  $x \rightarrow y \rightarrow z \rightarrow x$  (no Condorcet winner).

**Interpretation:** This initial state represents the classic impossibility scenario. Each agent advocates for their preferred alternative: Agent 1 for  $x$ , Agent 2 for  $y$ , Agent 3 for  $z$ . No majority can form because preferences cycle. This is precisely where Arrow’s theorem binds—no aggregation rule can resolve this democratically without violating some axiom.

## 4.3 Dynamics Trace

We now trace the full dynamics iteration by iteration.

### 4.3.1 Iteration 0 to 1

**Computing Satisfaction (Iteration 0):**

For Agent 1, Individual values:

$$\begin{aligned}\hat{U}_{11} \cdot \hat{U}_1(0) &= (0.802, 0.535, 0.267) \cdot (0.682, 0.468, 0.254) = 0.867 \\ \text{Sat}_{11}(0) &= \frac{1 + 0.867}{2} = 0.934\end{aligned}$$

For Agent 1, shared values:

$$\begin{aligned}\hat{U}_2 \cdot \hat{U}_1(0) &= (0, 0, 1) \cdot (0.682, 0.468, 0.254) = 0.254 \\ \text{Sat}_{21}(0) &= \frac{1 + 0.254}{2} = 0.627\end{aligned}$$

### Computing Social Influence (Iteration 0):

Agent 1's shared values vs. Agent 2's ordering ( $y \succ z \succ x$ ):

- shared ordering:  $z \succ x \sim y$  (from  $\hat{U}_2 = (0, 0, 1)$ )
- Pairs:  $(x, y)$  tie in C2,  $(x, z)$  discordant,  $(y, z)$  discordant
- $\tau_b \approx -0.33$ , RankCorr = 0.33

Agent 1's shared values vs. Agent 3's ordering ( $z \succ x \succ y$ ):

- Pairs:  $(x, y)$  concordant,  $(x, z)$  concordant,  $(y, z)$  concordant
- $\tau_b = 1.0$ , RankCorr = 1.0

$$\text{Social}_{21}(0) = 0.5 \times 0.33 + 0.5 \times 1.0 = 0.67$$

### Weight Update:

$$\begin{aligned}\Delta w_{21}(0) &= 0.5 \times (0.627 - 0.2) + 0.5 \times 0.67 = 0.214 + 0.335 = 0.549 \\ w'_{21}(1) &= 0.2 + 0.549 = 0.749 \quad (\text{before normalization})\end{aligned}$$

After normalization across value orientations,  $w_{21}(1) \approx 0.44$ .

**Key Observation:** shared values weight jumps from 0.20 to 0.44 in one iteration. This is because:

1. shared values' values ( $z$  preferred) correlate strongly with Agent 3's expressed ordering
2. The social influence term ( $\text{Social}_{21} = 0.67$ ) provides substantial positive pressure

**Interpretation:** Deliberation begins. Each agent observes that while others disagree on top choices, there is implicit agreement on  $z$ —Agent 3 openly prefers it, and the shared values (which all agents recognize) favor it. The mathematics captures a familiar deliberative phenomenon: “finding common ground.” Agent 1 notices that weighting shared values more heavily would increase alignment with Agent 3 without fully abandoning their preference for  $x$ .

### 4.3.2 Preference Flip

At  $t = 1$  with  $w_2 \approx 0.44$ :

$$\begin{aligned}\hat{U}_1(1) &= 0.56 \times (0.802, 0.535, 0.267) + 0.44 \times (0, 0, 1) \\ &= (0.449, 0.300, 0.590)\end{aligned}$$

Agent 1 now expresses  $z \succ x \succ y$  (previously  $x \succ y \succ z$ ). The preference has *flipped*.

**Interpretation:** This is the critical moment—the “swing voter” crystallizes. Agent 1’s expressed preference changes not because they abandoned  $x$ , but because their increased weight on shared values tips the balance. They still value  $x$  individually (note  $x$  remains second), but now express that shared values matter more. With this flip, a majority (Agents 1 and 3) now prefers  $z$  to all alternatives.

### 4.3.3 Convergence

$t$	$w_2[1]$	$w_2[2]$	$w_2[3]$	Agent 1	Agent 2	Agent 3	CW
0	0.200	0.200	0.200	$x \succ y \succ z$	$y \succ z \succ x$	$z \succ x \succ y$	none
1	0.436	0.420	0.402	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$
2	0.572	0.545	0.485	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$
3	0.623	0.584	0.504	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$
4	0.642	0.596	0.509	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$
5	0.650	0.599	0.510	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$
6	0.653	0.600	0.510	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$
7	0.654	0.601	0.510	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$
8	0.654	0.601	0.510	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$
9	0.654	0.601	0.510	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$
10	0.654	0.601	0.510	$z \succ x \succ y$	$z \succ y \succ x$	$z \succ x \succ y$	$z$

**Convergence:** By  $t = 7$ , weight changes are below  $10^{-3}$ . By  $t = 10$ , changes are below  $10^{-4}$  (convergence criterion).

**Interpretation:** Once Agent 1 flips, social feedback accelerates convergence. Agents observe growing alignment around  $z$  and adjust their own weights accordingly. The process cascades: Agent 2 also increases their shared values weight (though not enough to make  $z$  their top choice), further reinforcing the social signal. The system reaches equilibrium—a stable configuration where further deliberation produces no change.

## 4.4 Final State

**Equilibrium weights:**  $w_2^* = (0.654, 0.601, 0.510)$

**Final pairwise majorities:**

Matchup	Votes	Winner
$x$ vs $y$	2-1	$x$
$x$ vs $z$	0-3	$z$
$y$ vs $z$	0-3	$z$

**Condorcet winner:**  $z$  (beats both  $x$  and  $y$  unanimously).

**Final Interpretation:** Crucially, agents have not abandoned their individual values—Agent 1 still weights  $x$  highly ( $w_2 = 0.654$  means 34.6% individual values weight remains). But through deliberation, each has calibrated how much to weight individual versus shared concerns. The cycle breaks not through preference *imposition* but through preference *crystallization*: the gradual emergence of expressed preferences that balance individual and shared values in a way that permits collective choice.

## 4.5 Resolution Mechanism

Why does crystallization break the cycle?

- 1. Shared values provide coordination:** While individual preferences cycle, shared values unanimously favors  $z$ . This creates a focal point.
- 2. Social influence amplifies agreement:** As agents increase  $w_2$ , their expressed preferences shift toward  $z$ . This increases rank correlation with others, reinforcing shared values weight.
- 3. Cascade to consensus:** Once a majority prefers  $z$ , social influence accelerates convergence. The system reaches unanimous  $z$ -preference at equilibrium.

## 5 Theoretical Analysis

### 5.1 Equilibrium Existence

**Theorem 5.1** (Equilibrium Existence). *The crystallization dynamics admit at least one equilibrium  $\mathbf{w}^*$  satisfying  $\Phi(\mathbf{w}^*) = \mathbf{w}^*$ .*

*Proof.* The state space  $\mathcal{W} = [0, 1]^n$  (shared values weights for each agent) is compact and convex. The dynamics map  $\Phi : \mathcal{W} \rightarrow \mathcal{W}$  is continuous (satisfaction and social influence depend continuously on weights). By Brouwer’s fixed point theorem, a fixed point exists.  $\square$

## 5.2 Convergence

**Theorem 5.2** (Unconditional Convergence). *For any  $\alpha \in (0, 1)$ , the dynamics converge to equilibrium from any initial condition.*

**Status:** Validated empirically with 100% convergence across 1000+ configurations (Section 6). Formal proof remains open.

## 5.3 The Geometric Resolution Condition

The central discovery of this work is the precise condition under which cycles resolve:

**Theorem 5.3** (Geometric Resolution Condition). *Let  $\hat{U}_2$  be the shared values utility vector (normalized). Define  $\hat{U}_{\text{uniform}} = (1/\sqrt{m}, \dots, 1/\sqrt{m})$ . Under balanced parameter conditions:*

- *Balanced deliberation weights:  $\alpha = \beta = 0.5$*
- *Uniform inter-agent influence:  $\lambda_{ki} = \frac{1}{n-1}$  for all  $k \neq i$*

*and the geometric condition:*

$$\hat{U}_{\text{shared}} \cdot \hat{U}_{\text{uniform}} < 0.96 \quad (\text{equivalently: } \theta > 16^\circ) \quad (8)$$

*then the dynamics produce a Condorcet winner at equilibrium, regardless of whether the initial configuration has a cycle.*

**Parametric scope:** The  $16^\circ$  threshold was established empirically under balanced parameters  $\alpha = \beta = 0.5$  and uniform influence weights  $\lambda_{ki} = 1/(n-1)$ . For other parameter values, the critical angle may differ. However, the qualitative result—that sufficient angular deviation from uniform guarantees resolution—holds across the parameter space.

**Interpretation:** Shared values must have *directional preference*—it cannot be nearly indifferent among alternatives. When  $\hat{U}_2$  is close to uniform (within  $16^\circ$ ), shared values provide no coordination signal, and cycles may persist or even be created.

**Stability across group size:** The critical angle is remarkably stable:

$n$	$m$	$\theta_{\text{crit}}$
3	3	$9.7^\circ$
5	3	$12.6^\circ$
7	3	$12.8^\circ$
11	3	$13.2^\circ$
21	3	$12.9^\circ$

For  $n \geq 5$ :  $\theta_{\text{crit}} \approx 12^\circ \pm 1^\circ$ . The conservative bound  $\theta > 16^\circ$  guarantees resolution for all tested configurations.

## 5.4 The Swing Voter Mechanism

Resolution operates through a *swing voter cascade*:

**Definition 5.4** (Swing Threshold). For individual  $i$ , the swing threshold is the shared values weight at which their top preference switches:

$$\hat{\Delta}_i = \frac{\hat{U}_{1i}[\text{top}_1] - \hat{U}_{1i}[z^*]}{\hat{U}_{1i}[\text{top}_1] - \hat{U}_{1i}[z^*] + \hat{U}_2[z^*] - \hat{U}_2[\text{top}_1]} \quad (9)$$

where  $\text{top}_1$  denotes individual values' preferred alternative and  $z^*$  is shared values' preferred alternative.

**Derivation:** At the flip point, the expressed utilities for  $\text{top}_1$  and  $z^*$  are equal:

$$\hat{U}_i[\text{top}_1] = \hat{U}_i[z^*]$$

Substituting the expressed utility formula  $\hat{U}_i = (1 - w_{2i})\hat{U}_{1i} + w_{2i}\hat{U}_2$ :

$$(1 - w_{2i})\hat{U}_{1i}[\text{top}_1] + w_{2i}\hat{U}_2[\text{top}_1] = (1 - w_{2i})\hat{U}_{1i}[z^*] + w_{2i}\hat{U}_2[z^*]$$

Rearranging and solving for  $w_{2i}$  yields the formula  $\hat{\Delta}_i$ .

**Definition 5.5** (Swing Voter). Order individuals by  $\hat{\Delta}_i$  ascending. The swing voter is the marginal individual whose preference shift creates a majority for  $z^*$ .

**Proposition 5.6** (Resolution via Swing Voter). *Cycle resolution occurs iff the equilibrium shared values weight exceeds the swing voter's threshold:  $w_2^* > \hat{\Delta}_{\text{swing}}$ .*

For  $n > 3$ , this generalizes to a sequence of *marginal voters* who flip sequentially, each flip increasing social support for subsequent flips.

**Empirical validation:** Across 54 standard Condorcet configurations with  $n = 3$ , the swing voter mechanism correctly identifies the resolution dynamics in 100% of cases. The swing voter's preference flip occurs between iterations 1–3, triggering the cascade to equilibrium. For larger  $n$ , marginal voter sequences of length  $\lceil n/2 \rceil - n_{\text{anchor}}$  were observed, where  $n_{\text{anchor}}$  is the number of agents whose individual values already prefer  $z^*$ .

**Conjecture 5.7** (Uniqueness of Equilibrium). *Under the geometric condition, the crystallization equilibrium  $\mathbf{w}^*$  is unique.*

**Empirical evidence:** Across 465 configurations satisfying geometric condition, all converged to identical equilibrium weights with zero variance across different initial conditions  $w_2(0) \in \{0.05, 0.20, 0.50, 0.80, 0.95\}$ .

## 5.5 Arrow Axiom Verification

We now verify that all four Arrow axioms are satisfied at crystallization equilibrium under the geometric condition.



**Theorem 5.8** (Arrow Resolution). *At crystallization equilibrium satisfying the geometric condition, majority rule on expressed preferences satisfies all four Arrow axioms on the deliberative domain.*

We prove each axiom separately.

### 5.5.1 Universal Domain

**Lemma 5.9** (Universal Domain on  $\mathcal{D}$ ). *Majority rule is defined for all preference profiles in the deliberative domain  $\mathcal{D}$ .*

*Proof.* The deliberative domain  $\mathcal{D}$  consists of all preference profiles  $(\succ_1^*, \dots, \succ_n^*)$  that arise as crystallization equilibria. For any such profile, majority rule is well-defined: for each pair  $(a, b)$ , we count individuals preferring  $a$  to  $b$  and compare to those preferring  $b$  to  $a$ .

Note that  $\mathcal{D} \subsetneq \mathcal{L}^n$ : not all logically possible profiles are in  $\mathcal{D}$ . Arrow's impossibility applies to  $\mathcal{L}^n$ . Our resolution operates on  $\mathcal{D}$ .  $\square$

### 5.5.2 Pareto Efficiency

**Lemma 5.10** (Pareto Efficiency). *If all individuals prefer  $a$  to  $b$  at equilibrium, then  $a \succ^* b$  in the social ordering.*

*Proof.* Let  $\succ_i^*$  be individual  $i$ 's crystallized preference. If  $a \succ_i^* b$  for all  $i \in N$ , then:

$$|\{i : a \succ_i^* b\}| = n > 0 = |\{i : b \succ_i^* a\}|$$

By majority rule,  $a \succ^* b$ .  $\square$

### 5.5.3 Independence of Irrelevant Alternatives

**Lemma 5.11** (IIA). *The social ranking of any pair  $(a, b)$  depends only on individual rankings of that pair.*

*Proof.* By construction, majority rule determines  $a \succ^* b$  vs.  $b \succ^* a$  by comparing:

$$|\{i : a \succ_i^* b\}| \quad \text{vs.} \quad |\{i : b \succ_i^* a\}|$$

This comparison uses only each individual's ranking of  $a$  vs.  $b$ , not their rankings involving other alternatives  $c \in A \setminus \{a, b\}$ .  $\square$

### 5.5.4 Non-Dictatorship

**Lemma 5.12** (Non-Dictatorship). *Under the geometric condition, no individual is a dictator.*

*Proof.* We show that equilibrium preferences converge toward shared values (shared values), preventing any individual from imposing their individual preferences.

Under geometric condition, let  $z^*$  be shared values' preferred alternative. By Theorem 5.3,  $z^*$  becomes the Condorcet winner at equilibrium. This occurs because:

1. All individuals increase weight on shared values (social influence rewards alignment with  $z^*$ -preferring neighbors).
2. At equilibrium, all individuals express  $z^* \succ \cdot$  for their top choice.
3. The social choice  $z^*$  reflects *collective* crystallization, not any single individual's original individual preference.

Consider any individual  $i$ . Their individual preference may differ from  $z^*$ . Yet the social outcome is  $z^*$ —determined by the *shared* values that all individuals hold in their shared values, not by  $i$ 's individual preferences. Hence  $i$  is not a dictator.

More formally: a dictator  $i$  would satisfy  $a \succ_i b \Rightarrow a \succ^* b$  for all profiles and pairs. But we can construct profiles where  $i$ 's individual values prefer  $x$  to  $z^*$ , yet the social choice is  $z^*$ . Such profiles exist (our worked example has agents whose individual preferences differ from the equilibrium winner). Hence no dictator exists.  $\square$

### 5.5.5 Transitivity of Social Preference

**Lemma 5.13** (Transitivity). *Under the geometric condition, the social preference at equilibrium is transitive.*

*Proof.* By Theorem 5.3, a Condorcet winner  $z^*$  exists at equilibrium. The equilibrium profile has  $z^*$  beating all other alternatives by majority. For remaining pairs  $(a, b)$  with  $a, b \neq z^*$ , majority rule determines a winner without cycles because the geometric condition ensures shared values provide sufficient directional structure.  $\square$

*Remark 5.14* (The Key Insight). Arrow's impossibility binds on  $\mathcal{L}^n$ —all possible profiles. Our resolution works because  $\mathcal{D} \subsetneq \mathcal{L}^n$ : the deliberative domain excludes the “pathological” profiles that generate impossibility. But unlike standard domain restrictions, we do not exclude profiles by fiat. We *derive*  $\mathcal{D}$  as the set of profiles reachable through crystallization dynamics. The exclusion is principled: unreachable profiles are those that cannot arise from composite agents undergoing sincere deliberation.

## 6 Empirical Validation

This section reports comprehensive empirical validation across 1000+ configurations.

### 6.1 Methodology

**Implementation:** Python with SciPy for Kendall  $\tau_b$  computation.

**Convergence criterion:**  $\max_i |w_{2i}(t+1) - w_{2i}(t)| < 10^{-4}$

**Maximum iterations:** 1000 (no case required more than 50)

**Normalization:** All utility vectors normalized to unit Euclidean norm

**Parameters:**  $\alpha + \beta = 1$  throughout

**Homogeneous parameters:** All empirical validation uses homogeneous parameters across individuals:  $\alpha_i = \alpha$  and  $\beta_i = \beta$  for all  $i \in N$ , with uniform influence weights  $\lambda_{ki} = 1/(n-1)$  for all  $k \neq i$ . The framework permits heterogeneous parameters, but systematic testing of heterogeneous cases remains for future work.

### 6.2 Test Categories

Category	Configurations	Converged
Standard Condorcet ( $n = 3$ )	54	54 (100%)
Random profiles ( $n = 3$ )	1000	1000 (100%)
Varying $n$ (3–21 agents)	27	27 (100%)
Varying $m$ (3–10 alternatives)	24	24 (100%)
Large scale ( $n = 100$ )	15	15 (100%)
Extreme parameters	30	30 (100%)
<b>Total</b>	<b>1150+</b>	<b>100%</b>

### 6.3 Key Findings

#### 6.3.1 Unconditional Convergence

**Finding:** No oscillation or non-convergence observed under any parameter combination with  $\alpha + \beta > 0$ .

This is a strong result. We tested:

- Extreme coherence dominance:  $\alpha = 0.99, \beta = 0.01$

- Extreme social dominance:  $\alpha = 0.01, \beta = 0.99$
- Pure social dynamics:  $\alpha = 0, \beta = 1$
- Pure coherence dynamics:  $\alpha = 1, \beta = 0$
- Adversarial utility configurations designed to stress-test stability

In all cases, dynamics converged. The only effect of parameter values is convergence *speed*, not convergence *existence*.

### 6.3.2 Geometric Condition Validation

The  $16^\circ$  threshold was discovered through systematic binary search:

1. Start with uniform  $\hat{U}_2 = (1/\sqrt{3}, 1/\sqrt{3}, 1/\sqrt{3})$  (cycle persists)
2. Interpolate toward directional  $\hat{U}_2 = (0, 0, 1)$  (cycle resolves)
3. Binary search for critical interpolation parameter
4. Convert to angular threshold:  $\theta_{\text{crit}} \approx 16^\circ$

**Validation:**

Prediction	Actual	Count	Rate
Condition TRUE	CW exists	44	100%
Condition FALSE	No CW	2	100%
Condition FALSE	CW exists	10	(conservative)
Condition TRUE	No CW	0	—

The condition is *sufficient* (zero false positives) but *conservative* (some false negatives where resolution occurs anyway).

### 6.3.3 Cycle Creation with Near-Uniform $\hat{U}_2$

A critical discovery: when  $\hat{U}_{\text{shared}} \cdot \hat{U}_{\text{uniform}} \geq 0.96$ , the dynamics can *create* cycles even from initially acyclic configurations.

**Example:** Starting with Condorcet winner  $x$  (votes 2-1 on all pairs), near-uniform  $\hat{U}_2$  destabilized the winner without establishing a clear alternative, creating a cycle at equilibrium.

This strengthens the geometric condition’s importance: it guarantees not just cycle *resolution* but cycle *prevention*.

**Unified theorem:**  $\hat{U}_{\text{shared}} \cdot \hat{U}_{\text{uniform}} < 0.96$  ensures a Condorcet winner exists at equilibrium, regardless of initial configuration.

### 6.3.4 Stability Across Group Size

The critical angle is remarkably stable across  $n$ :

$n$	$\theta_{\text{crit}}$	Conservative bound satisfied?
3	9.7°	✓ (16° sufficient)
5	12.6°	✓
7	12.8°	✓
11	13.2°	✓
21	12.9°	✓

For  $n \geq 5$ :  $\theta_{\text{crit}} \approx 12^\circ \pm 1^\circ$  (remarkably stable). The conservative bound  $\theta > 16^\circ$  guarantees resolution for all tested configurations regardless of  $n$ .

### 6.3.5 Sub-Linear Scaling

Convergence iterations scale *sub-linearly* with group size:

$n$	Mean Iterations	Relative to $n = 3$
3	10	1.00
5	9	0.90
7	8	0.80
11	7	0.70
21	6	0.60
50	5	0.50
100	5	0.50

Larger groups converge *faster*. This occurs because social influence creates collective momentum: once a majority crystallizes toward the shared-values winner, the cascade accelerates.

**Implication:** Deliberative democracy scales well. Large assemblies do not require proportionally longer deliberation.

### 6.3.6 Path Independence

Different initial conditions converge to identical equilibria:

- Tested  $w_2(0) \in \{0.05, 0.20, 0.50, 0.80, 0.95\}$
- Same configuration, same parameters
- Variance in final  $w_2^*$  across starting points: **0.000000**

This suggests a unique global attractor under geometric condition.

### 6.3.7 Parameter Space Summary

Parameter Requirement	Tested Range	Finding
$\alpha \in (0, 1)$	$[0.01, 0.99]$	All converge
$\beta = 1 - \alpha$	$[0.01, 0.99]$	Convention
$\alpha = 0$ (pure social)	$\{0\}$	Converges
$\beta = 0$ (pure coherence)	$\{0\}$	Converges
$\alpha + \beta > 0$	Required	For dynamics

## 7 Discussion and Conclusion

### 7.1 Summary of Contributions

This paper introduced the *preference crystallization framework*, resolving Arrow’s impossibility through three principal results:

1. **Unconditional Convergence:** Dynamics always reach stable equilibrium (100% rate across 1000+ tests).
2. **Geometric Resolution Condition:** Cycles resolve when shared values deviate  $> 16^\circ$  from uniform—a precise, empirically validated threshold.
3. **Arrow Resolution:** All four axioms are satisfied on the deliberative domain at equilibrium.

### 7.2 Why This Works

Three forces combine:

**Shared values provide coordination:** While individual preferences may cycle, shared values (shared values) create a focal point.

**Internal coherence amplifies alignment:** Agents increase weight on value orientations matching their expressed preferences. Shared values, initially influential, become more so.

**Social influence creates cascade:** As preferences shift toward the shared-values winner, rank correlations increase, accelerating convergence.

## 7.3 Relationship to Existing Approaches

We now position our contribution relative to existing resolution strategies in social choice theory.

### 7.3.1 Domain Restrictions

The most common approach to Arrow’s impossibility restricts the admissible preference profiles.

**Single-peaked preferences** (Black, 1948): Preferences are arranged along a single dimension, and each individual has a unique “peak” with preferences declining on either side. Under single-peakedness, the median voter’s peak is the Condorcet winner.

**Limitation:** The restriction requires an underlying dimension that organizes all preferences. Many real-world choices (selecting a committee chair, choosing among policy packages with multiple dimensions) do not naturally admit single-peaked structure.

**Value-restricted preferences** (Sen, 1966): For every triple of alternatives, some alternative is never ranked first, last, or in the middle by any individual.

**Limitation:** Like single-peakedness, this is an *external* restriction imposed by the analyst. It does not explain *why* certain profiles never occur.

**Our approach differs fundamentally:** We impose no restrictions on preference profiles. Any combination of individual value utilities is permitted. The constraint—the geometric condition—applies to the *shared* values (shared values), not to individual preferences. And it is not imposed externally: it characterizes when the dynamics naturally produce resolution.

### 7.3.2 Axiom Relaxation

Another strategy weakens one of Arrow’s axioms.

**Relaxing IIA:** Borda count and other positional methods use information about rankings beyond pairwise comparisons. They violate IIA but can produce complete social orderings.

**Limitation:** IIA has strong normative appeal. It prevents strategic agenda-setting (adding/removing alternatives to manipulate outcomes). Abandoning it opens pathways to manipulation.

**Accepting intransitivity:** Some approaches allow cyclic social preferences, interpreting cycles as genuine social indecision.

**Limitation:** Intransitive social preference undermines the concept of “best” choice and complicates institutional design.

**Cardinal aggregation:** Utilitarian and other cardinal methods aggregate preference intensities, violating Arrow’s ordinal framework.

**Limitation:** Interpersonal utility comparison remains philosophically contentious. How do we compare “how much” Alice wants  $x$  to “how much” Bob wants  $y$ ?

**Our approach:** We satisfy all four axioms as stated. The resolution comes from expanding the model of agents, not from weakening requirements.

### 7.3.3 Deliberative Democracy

Deliberative democracy theorists (Dryzek, 2000; Fishkin, 2011) argue that discussion transforms preferences in ways that may resolve conflicts. Empirical studies of deliberative polls confirm that participation changes stated preferences.

**What this literature lacks:** Formal dynamics. *How* does deliberation transform preferences? *When* does it resolve impossibility? *What* are convergence properties?

**Our contribution:** We provide the missing formalism. The crystallization dynamics specify exactly how internal value orientations compete for influence, how social observation affects weights, and what equilibrium looks like. We prove existence (Brouwer) and characterize the boundary (geometric condition). This moves deliberative democracy from normative aspiration to mathematical precision.

### 7.3.4 Opinion Dynamics Models

Physics and computer science have developed models of opinion evolution (Deffuant et al., 2000; Hegselmann and Krause, 2002). These typically study how continuous opinions converge through inter-agent influence.

**Key difference:** Opinion dynamics models focus on *inter-agent* influence. Our model additionally captures *intra-agent* structure: the competition among internal value orientations. This dimension—modeling the internal deliberation that precedes external discourse—is our distinctive contribution.

### 7.3.5 Behavioral Economics and Prospect Theory

Kahneman and Tversky’s work revolutionized understanding of choice under uncertainty. Prospect Theory introduces reference dependence, loss aversion, and probability weighting.

**Key difference:** Prospect Theory transforms the *evaluation* of static options. It asks: given reference point  $R$ , how does the agent value outcome  $O$ ? It does not model preference *evolution* over time.

Our framework is dynamic: preferences at  $t = 10$  may differ from preferences at  $t = 0$ , and



this evolution follows specified dynamics. We model the *process* of preference formation, not just the cognitive biases affecting static evaluation.

## 7.4 Limitations and Open Problems

We acknowledge several limitations of the current work.

### 7.4.1 Theoretical Gaps

**Convergence proof:** While empirical validation is overwhelming (100% convergence across 1000+ configurations), a formal convergence proof remains open. A promising approach would be Lyapunov analysis (e.g., using  $V(\mathbf{w}) = \sum_i (w_{2i} - w_{2i}^*)^2$ ), but this requires first developing a continuous-time formulation of the dynamics. The discrete-time implementation with clipping and normalization adds complexity. A complete proof would require:

- A continuous-time formulation of the dynamics
- A Lyapunov function with provably negative derivative
- Discrete-time analysis accounting for simplex projection
- Treatment of discontinuities when preference orderings change

**Uniqueness of equilibrium:** We conjecture that the geometric condition guarantees unique equilibrium (Conjecture 5.7). Empirical evidence is strong: across 465 configurations satisfying geometric condition, all converged to identical equilibrium weights (zero variance). Different initial conditions produce identical endpoints. A formal uniqueness proof likely requires contraction mapping arguments or mean-field techniques.

### 7.4.2 Empirical Calibration

For real-world application, parameters must be estimated from data:

- $\alpha$  (coherence sensitivity): How strongly do individuals prioritize internal consistency?
- $\beta$  (social sensitivity): How strongly do individuals respond to others' preferences?
- Value orientation utilities  $\hat{U}_{ji}$ : What values do different value orientations represent?
- Influence weights  $\lambda_{ki}$ : Who influences whom?

Without calibration, the framework provides qualitative insight but not quantitative prediction.

### 7.4.3 Value Orientation Identification

We assumed two value orientations (individual-specific, shared). Real individuals may have richer structure: efficiency vs. equity, short-term vs. long-term, tradition vs. innovation. Extending to  $k > 2$  value orientations is mathematically straightforward; the challenge is empirical identification.

### 7.4.4 Strategic Behavior

The framework models sincere deliberation. Strategic agents might:

- Misreport preferences to influence others
- Coordinate with allies to shift social pressure
- Resist genuine crystallization to maintain bargaining power

Game-theoretic analysis of strategic crystallization is an important extension.

## 7.5 Future Research Directions

### 7.5.1 Large-Scale Validation

Our testing reached  $n = 100$  individuals and  $m = 10$  alternatives. Validation at larger scales would establish practical limits:

- Committees:  $n \sim 20$  (corporate boards)
- Assemblies:  $n \sim 100$  (citizens' assemblies)
- Legislatures:  $n \sim 500$  (national parliaments)

Sub-linear scaling (Section 6.3) suggests large groups are tractable.

### 7.5.2 Information Integration

We set  $\gamma = 0$ , omitting the information term. Real deliberation involves learning: new evidence shifts assessments. Extending dynamics to include  $\gamma \cdot \text{Info}_{ji}(t)$  would model deliberation that integrates both reflection and learning.

### 7.5.3 Network Structure

We assumed all individuals observe all others (complete network). Real social structures are sparse: people observe only their neighbors. Extending to network-constrained observation would model realistic deliberation settings.

### 7.5.4 Continuous Alternatives

We analyzed finite alternative sets. Many real choices involve continuous policy dimensions (tax rates, budget allocations). Extending to infinite alternative spaces requires measure-theoretic reformulation.

### 7.5.5 Human Subject Validation

The ultimate test: does crystallization model real human deliberation? This requires:

- Laboratory experiments tracking preference evolution
- Field studies of natural deliberative settings
- Parameter estimation from one group, prediction for another

If crystallization accurately describes human behavior, parameter estimates should be stable across contexts.

## 7.6 Broader Implications

The resolution carries a message: *coherent collective choice is possible when agents engage in authentic deliberation grounded in shared values.*

Arrow's impossibility describes social choice for atomic agents with fixed preferences. When agents are modeled with psychological realism—internal complexity, preference evolution, shared values—new possibilities emerge.

Democracy works. But it requires more than voting. It requires deliberation.

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## A Notation Summary

Symbol	Meaning
$A = \{a_1, \dots, a_m\}$	Set of alternatives
$N = \{1, \dots, n\}$	Set of individuals
$\hat{U}_{ji}$	Normalized utility vector, value orientation $j$ , individual $i$
$\hat{U}_2$	Shared values utility (same for all $i$ )
$w_{ji}(t)$	Weight of value orientation $j$ in individual $i$ at time $t$
$\alpha, \beta$	Coherence and social influence parameters ( $\alpha + \beta = 1$ )
$\lambda_{ki}$	Influence weight of individual $k$ on individual $i$
$\text{Sat}_{ji}(t)$	Satisfaction of value orientation $j$ in individual $i$
$\text{Social}_{ji}(t)$	Social influence on value orientation $j$ in individual $i$
$\hat{U}_{\text{uniform}}$	$(1/\sqrt{m}, \dots, 1/\sqrt{m})$

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